



THE UNITED STATES PATENT AND TRADEMARK OFFICE

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(43)

In re application of:

Nareak Douk, et al.

Appl. No. 09/824,832

Filed: April 3, 2001

Art Unit:

Examiner: To be Assigned

Atty. Docket: P563 US

For: Temporary Intraluminal Filter
Guidewire and Method of Use

INFORMATION DISCLOSURE STATEMENT UNDER RULE 1.56

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

Applicant(s) herein make available to the Patent and Trademark Office a copy of Form PTO-1449, and a copy of each cited reference is enclosed. This Information Disclosure Statement is being filed in accordance with the following provision(s):

- ☒ 37 CFR 1.97(b)(1) Within three months of the filing date of the national application. No fee is required.
- ☐ 37 CFR 1.97(b)(2) Within three months of the date of entry of the national stage as set forth in § 1.491 in the international application. No fee is required.
- ☐ 37 CFR 1.97(b)(3) Before the mailing date of a first Office Action on the merits. No fee is required.
- ☐ 37 CFR 1.97(c) After the periods specified in 37 CFR 1.97(b), but before the mailing date of either: (1) a final action under § 1.113 or (2) a notice of allowance under § 1.311, whichever occurs first.

- ☐ The undersigned hereby certifies that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. A copy of the Search Report is enclosed, citing the references; or
- ☒ The undersigned hereby certifies that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of this statement; or
- ☐ Enclosed is a check in the amount of \$240.00 for the fee set forth in 37 CFR § 1.17(p). The Commissioner is hereby authorized to charge any additional fees which may be required under 37 C.F.R. 1.17, or credit any overpayment, to Deposit Account No. 01-2525.
- ☐ 37 CFR 1.97(d) After the mailing date of either (1) a final action under § 1.113 or (2) a notice of allowance under § 1.311, whichever occurs first, but before payment of the issue fee.
- ☐ Applicant(s) hereby petition the Commissioner of Patents and Trademarks to consider this information disclosure statement. Enclosed is a check in the amount of \$130.00 for the petition fee set forth in § 1.17(i)(1). The Commissioner is hereby authorized to charge any additional fees which may be required under 37 C.F.R. 1.17, or credit any overpayment, to Deposit Account No. 01-2525.


The listed documents are brought to the Examiner's attention because they are known to the applicant and/or the applicant's attorney and may be considered by the Examiner to be material to his/her examination. This listing should not be construed as representation that a search has been made or that no better art exists. No inference should be made that the documents are in fact material merely because they are referenced herein. Moreover, no representation is made that any brief descriptions of the references herein necessarily describe the most material aspects of the references. Further, by this listing, the applicant is not making any admission regarding the relative dates of the invention and listed disclosures.

The Examiner is requested to consider carefully the complete text of these documents in connection with the examination of the above-identified application in accordance with 37 CFR 1.104(a). It is requested that the documents listed on the attached Form PTO-1449 be included in the "References Cited" portion of any patent issuing from this application (M.P.E.P. 1302.12),

and that the Examiner initial and return a copy of the form to evidence consideration of the documents.

Dated: April 21, 2001.

Respectfully submitted,



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CERTIFICATE OF MAILING (37 CFR 1.8A)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in the envelope addressed to: Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Date: _____

4/23/01



Christine L. Aceves

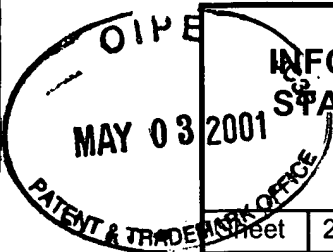
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 PATENT & TRADEMARK OFFICE

Complete if Known

Application Number	09/824,832
Filing Date	April 3, 2001
First Named Inventor	DOUK, Nareak <i>et al.</i>
Group Art Unit	
Examiner Name	
Attorney Docket Number	P563 US

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Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code			
		3,966,938		Clark, III	12-14-1976	
		4,577,631		Kreamer	03-25-1986	
		4,650,466		Luther	03-17-1987	
		4,926,858		Gifford, III <i>et al.</i>	05-22-1990	
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		5,330,482		Gibbs <i>et al.</i>	07-19-1994	
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		5,376,094		Kline	12-27-1994	
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		5,765,418		Rosenberg	06-19-1998	
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		5,911,734		Tsugita <i>et al.</i>	06-15-1999	
		5,972,019		Engelson <i>et al.</i>	10-26-1999	
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		6,053,932		Daniel <i>et al.</i>	04-25-2000	
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		6,123,715		Amplatz	09-26-2000	
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		6,165,200		Tsugita <i>et al.</i>	12-26-2000	
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		6,179,859	B1	Bates <i>et al.</i>	01-30-2001	



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

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Group Art Unit					
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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office	Number	Kind Code				
		WO	96/01591	A1	Microvena Corp.	25-01-1996		
		WO	99/22673	A1	C. R. Bard, Inc.	05-14-1999		
		WO	99/23976	A1	Salviac Ltd.	05-20-1999		
		WO	99/44510	A1	Bioguide Consulting, Inc.	06-10-1999		
		WO	00/67664	A1	Salviac Ltd.	11-16-2000		

OTHER DOCUMENTS

Examiner Initials*	Cite No.	Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Hodgson, D.E. et al; "Augmented Properties of NiTi Wire by 'Filling' with Selected Metals" SMST-97: <i>Proceedings of the Second International Conference on Shape Memory and Superelastic Technologies.</i>	(1997) no month	pp.461-465

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.